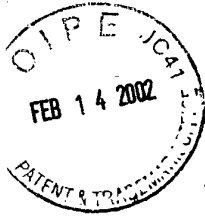


S-94,664



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Rev. 10/01/10

Applicants : P. Scott White et al. : Docket No.: S-94,664  
 Serial No. : 09/877,819 : Examiner:  
 Filed : June 07, 2001 : Art Unit: 1645  
 For : ADDRESS/CAPTURE TAGS  
 FOR FLOW-CYTOMETRY  
 BASED MINISEQUENCING

Box Sequence  
 Commissioner for Patents  
 Washington, D.C. 20231

COPY OF PAPERS  
 ORIGINALLY FILED

SUBMISSION OF "SEQUENCE LISTING," COMPUTER READABLE COPY,  
 AND/OR AMENDMENT PERTAINING THERETO  
 FOR BIOTECHNOLOGY INVENTION CONTAINING NUCLEOTIDE  
 AND/OR AMINO ACID SEQUENCE

(check and complete this item, if applicable)

1. ☒ This replies to the Office Letter dated August 09, 2001.

☒ A copy of the Office Letter is enclosed.

## IDENTIFICATION OF PERSON MAKING STATEMENT

2. I, Samuel M. Freund  
 (type or print name of declarant signing below)  
 state the following:

## CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

**MAILING**  
☒ deposited with the United States Postal Service  
 with sufficient postage as first class mail in an  
 envelope addressed to the:  
 Commissioner for Patents, Washington, DC 20231.

**FACSIMILE**  
☐ transmitted by facsimile to the  
 United States Patent and Trademark Office.

Samuel M. Freund  
 Signature

Samuel M. Freund  
 (type or print name of person certifying)

Date January 09, 2002

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**ITEMS BEING SUBMITTED**

3. Submitted herewith is/are:

(check each item as applicable)

- A. ☒ "Sequence Listing(s)" for the nucleotide and/or amino acid sequence(s) in this application. Each "Sequence Listing" is assigned a separate identifier as required in 37 C.F.R. § 1.821(c) and 37 C.F.R. §§ 1.822 and 1.823.
- B. ☒ An amendment to the description and/or claims, wherein reference is made to the sequence by use of the assigned identifier, as required in 37 C.F.R. § 1.821(d).
- C. ☒ A copy of each "Sequence Listing" submitted for this application in computer readable form, in accordance with the requirements of 37 C.F.R. §§ 1.821(e) and 1.824.
- D. ☐ Please transfer to this application, in accordance with 37 C.F.R. § 1.821(e), the computer readable copy(ies) from applicant's other application identified as follows:

In reapplication of:

Application No.: 0 /  
 Filed:  
 For:

Group No.:  
 Examiner:

The Computer readable form(s) of applicant's other application corresponds to the "Sequence Identifier(s)" of the application as follows:

Computer Readable Form  
 (other application)

"Sequence Identifier"  
 (this application)

- E. ☒ A statement that the content of each "Sequence Listing" submitted and each computer readable copy are the same, as required in 37 C.F.R. § 1.821 (g).  
☐ Because the statement is not made by a person registered to practice before the Office, the statement is verified as required in 37 C.F.R. § 1.821(b).
- F. ☒ Because this submission is made in fulfilling the requirement under 37 C.F.R. § 1.821 (g), a statement that the submission includes no new matter.  
☐ Because the statement is not made by a person registered to practice before the Office, the statement is verified, as required in 37 C.F.R. § 1.821(g).

**STATEMENT THAT "SEQUENCE LISTING"  
 AND COMPUTER READABLE COPY ARE THE SAME  
 AND/OR THAT PAPERS SUBMITTED INCLUDES NO NEW MATTER**

4. I hereby state:

(complete applicable item A and/or B)

- A. ☒ Each computer readable form submitted in this application, including those forms requested to be transferred from applicant's other application, is the same as the "Sequence Listing" to which it is indicated to relate.

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- B. ☒ All papers accompanying this submission, or for which a request for transfer from applicants' other application, introduce no new matter.

**STATUS**

5. Applicant

- ☒ claims small entity status

**EXTENSION OF TIME**

6. The proceedings herein are for a patent application and the provisions of 37C.F.R. § 1.136 apply.

(complete (a) or (b) as applicable)

- (a) ☐ Applicant petitions for an extension of time under 37 C.F.R. § 1.136 (fees: 37 C.F.R. § 1.17(a)(1)-(4)) for the total number of months checked below:

	Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/>	one month	\$ 110.00	\$ 55.00
<input type="checkbox"/>	two months	\$ 400.00	\$200.00
<input checked="" type="checkbox"/>	three months	\$ 920.00	\$460.00
<input type="checkbox"/>	four months	\$1,440.00	\$720.00

Fee: \$000.00

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☒ An extension for 3 months has already been secured in the attached Completion of Filing Requirements.

Extension fee due with this request \$000.00

or

- (b) ☐ Applicant believes that- no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

**FEE PAYMENT**

7. ☐ Attached is a check in the sum of \_\_\_\_\_

- ☒ Charge Account No. 12-2150 the sum of \$000.00.  
A duplicate of this transmittal is attached.

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**FEE DEFICIENCY**

8. ☒ If any additional extension and/or fee is required, charge

Account No. 12-2150

**SIGNATURE(s)**

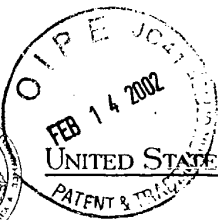
Respectfully submitted,

Date: January 09 2002

Reg. No.: 30,459  
Phone: (505) 667-9701

**Signature of Attorney**

Samuel M. Freund  
Los Alamos National Laboratory  
LC/IP, MS A187  
Los Alamos, New Mexico 87545



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/877,819	06/07/2001	P. Scott White	S-94,664

LC / BPL

CONFIRMATION NO. 1187

FORMALITIES LETTER



\*OC00000006403819\*

Samuel M. Freund  
Los Alamos National Laboratory  
LC/BPL, MS D412  
Los Alamos, NM 87545

AUG 14 2001

Date Mailed: 08/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

WAE

Filing Date Granted

BHC

GCD

AMB

T

MMH

MMK

MDW

RGW

SJP

SLB

SM

DBASE

KARDEX

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer


readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

